An International, Expert-based, Multispecialty Delphi Consensus Document on Stroke Risk Stratification and the Optimal Management of Patients with Asymptomatic and Symptomatic Carotid Stenosis

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1	An International, Expert-based, Multispecialty Delphi Consensus
2	Document on Stroke Risk Stratification and the Optimal Management of
3	Patients with Asymptomatic and Symptomatic Carotid Stenosis
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1

Abstract (344 words)

2 **Objective:** The optimal management of patients with asymptomatic (AsxCS) and symptomatic (SxCS) carotid stenosis is controversial and includes intensive medical 3 management (i.e., best medical therapy [BMT]) with/without an additional carotid 4 revascularization procedure (i.e., carotid endarterectomy [CEA], transfemoral carotid artery 5 stenting [TFCAS] or TransCarotid Artery Revascularization [TCAR]). The aim of this 6 international, expert-based, multispecialty Delphi Consensus document was to reconcile the 7 8 conflicting views regarding the optimal management of AsxCS and SxCS patients. **Methods:** A three-round Delphi Consensus process was performed including 63 experts from 9 10 Europe (n=37) and the United States (n=26). A total of 6 different clinical scenarios were identified involving patients with either AsxCS or SxCS. For each scenario, 5 treatment 11 options were available: (i) BMT alone, (ii) BMT plus CEA, (iii) BMT plus TFCAS, (iv) BMT 12 13 plus TCAR, or (v) BMT plus CEA/TFCAS/TCAR. Differences in treatment preferences between U.S. and European participants were assessed using Fisher's Exact Test, and odds 14 ratios were used to quantify the magnitude and direction of association. Consensus was 15 achieved when >70% of the Delphi Consensus participants agreed on a therapeutic approach. 16 17 **Results:** Most participants concurred that BMT alone is not adequate for the management of a 18 70-year-old fit male or female patient with 80-99% AsxCS (52/63; 82.5% and 45/63; 71.5%, respectively). In contrast, most panelists would opt for BMT alone for an 80-year-old male 19 AsxCS patient with several co-morbidities (48/63; 76.2%). The majority of participants would 20 21 opt for BMT plus a carotid revascularization procedure for an 80-year-old male SxCS patient with a recent ipsilateral cerebrovascular event, an ipsilateral 70-99% SxCS and a 5-year 22 predicted risk of ipsilateral ischemic event of 10% (54/63; 85.7%), 15% (59/63; 93.6%), or 23

1	20% (63/63; 100%). The opinion of U.Sbased participants varied from that of Europe-based
2	respondents in some scenarios.
3	Conclusions: The panel agreed that BMT alone is insufficient for most patients with SxCS,
4	and that select subgroups of AsxCS patients may also benefit from revascularization,
5	especially when high-risk features are present. Patients should be stratified according to their
6	predicted stroke risk, as well as their individual clinical/anatomical/imaging features and
7	should be treated accordingly.
8	
9	Keywords: asymptomatic carotid stenosis, stroke risk, symptomatic carotid stenosis
10	Best Medical Therapy, carotid endarterectomy, carotid artery stenting
11	TransCarotid Artery Revascularization
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1	Introduction
2	Despite the release of international guidelines by various professional Societies/Organizations
3	(e.g., the Society for Vascular Surgery [SVS], ^{1,2} the European Society for Vascular Surgery
4	(ESVS), ³ the American Heart Association/American Stroke Association, ⁴ the European Stroke
5	Organization ⁵ and the European Society of Cardiology Council on Stroke ⁶), there is still
6	substantial controversy regarding the optimal management of patients with asymptomatic
7	(AsxCS) and symptomatic (SxCS) carotid artery stenosis. For instance, according to the 2022
8	SVS carotid guidelines, carotid endarterectomy (CEA) together with best medical therapy
9	(BMT) is recommended over BMT alone in low surgical risk patients with >70% AsxCS for
10	the long-term prevention of stroke and death (grade IB). In contrast, according to the 2023
11	ESVS carotid guidelines, for average surgical risk patients with 60-99% AsxCS, CEA should
12	be considered in the presence of one or more imaging or clinical characteristics that may be
13	associated with an increased risk of late stroke (e.g., silent ipsilateral infarction on CT,
14	intraplaque hemorrhage on MRI, impaired cerebrovascular reserve, >1 spontaneous
15	microembolic signals during >1 hour of transcranial Doppler monitoring, etc.) provided 30-
16	day stroke/death rates are <3% and patient life-expectancy exceeds five years (Class: IIa;
17	Level of Evidence: B). ³
18	Several factors contribute to the ongoing controversy regarding optimal management,
19	including:
20	a) Variability in physician/surgeon/interventionalist preferences based on individual
21	expertise and/or availability of specific technologies (e.g., TCAR is currently not
22	available in many countries outside the United States); ⁷
23	b) Differences in patient preferences, co-morbidities, anatomical or physiological
24	characteristics and treatment expectations; ^{8,9}

1	c) A lack of robust evidence to support strong guidelines recommendations for certain
2	patient subgroups (e.g., women, racial and ethnic minorities, etc.), as these populations
3	were under-represented in landmark randomized controlled trials (RCTs). ³
4	As a result, there is often considerable uncertainty about the optimal management of some
5	AsxCS and SxCS patient subgroups. In addition, recent advances suggest that the
6	classification of AsxCS patients based on the degree of carotid stenosis alone may not
7	adequately reflect future stroke risk. ^{6,10}
8	The aim of the present international, multispecialty, expert-based Delphi Consensus
9	document was to address the various therapeutic options available for the management of
10	AsxCS and SxCS patients in an attempt to reconcile the conflicting views.
11	
12	Methods
13	An international, multispecialty, expert-based Delphi consensus document was prepared
14	according to the Conducting and REporting DElphi Studies (CREDES) Checklist. 11 A total of
15	26 experts from the United States and 37 experts from Europe were invited to participate
16	(Supplementary Table 1). Overall, 22 of the 26 participants from the U.S. and 25 of the 37
17	participants from Europe were vascular surgeons (Supplementary Table 2). All invited
18	participants had at least 10 years of clinical experience and proof of academic expertise in the
19	management of patients with AsxCS and SxCS, as documented by a list of relevant
20	publications on PubMed/MedLine, participation in previous Delphi Consensus documents and
21	special issues on the management of AsxCS and SxCS. 12-14
22	Six different clinical scenarios were identified (Figure 1). For each clinical scenario,

participants were asked to select the optimal therapeutic approach from the following five

- options: (a) BMT alone, (b) BMT plus CEA, (c) BMT plus TFCAS, (d) BMT plus TCAR, or
- 2 (e) BMT plus CEA/TFCAS/TCAR. Option (e) indicated that any revascularization method
- 3 (CEA, TFCAS or TCAR) could be appropriate for the specific clinical scenario depending on
- 4 personal expertise and equipment availability.
- In total, 3 rounds were conducted. Participants had 2 weeks to vote during each round and
- all voting was anonymous. Only the Delphi Consensus co-ordinator (K.I.P.) had access to
- 7 individual participant responses. Consensus was defined as >70% agreement among
- 8 participants on a given therapeutic option. During Round 1, certain issues with the clinical
- 9 scenarios were identified and clarified. Participants were not informed of the group voting
- results until after Round 2. In Round 3, participants were asked to finalize their votes.
- Differences in responses to each question between U.S. and European participants were
- assessed using Fisher's Exact Test. Simulated p-values based on 10,000 Monte Carlo
- 13 replicates were used due to some cells in the contingency tables containing small or zero
- counts; Fisher's test was selected over the chi-squared test to ensure valid inferences under
- these conditions. To quantify the magnitude and direction of association between geographic
- region (U.S.A. vs. Europe) and treatment preference for AsxCS and SxCS patients, odds
- 17 ratios (ORs) with 95% confidence intervals (CIs) were calculated with continuity correction
- applied when necessary to account for zero-cell values. Fisher's Exact Test was used to
- 19 calculate p-values.
- A pooled analysis of the treatment recommendations for all asymptomatic patient scenarios
- 21 and all symptomatic patient scenarios in the U.S. respondents versus the European
- respondents was conducted. There were 26 U.S. respondents and 37 European respondents to
- 23 3 asymptomatic and 3 symptomatic scenarios. Therefore, there were a total of 78 U.S.
- scenario responses versus 111 European scenario responses in both the symptomatic and

1	asymptomatic scenarios. For this pooled analysis the BMT + TCAR response option was
2	$merged\ with\ the\ BMT+CEA/CAS/TCAR\ option\ as\ there\ were\ very\ few\ to\ no\ BMT+TCAR$
3	responses in several scenarios. Comparison of the frequency of each treatment selection in the
4	U.S. cohort versus the European cohort of respondents was conducted with univariable OR
5	analysis with resultant P-Values, ORs and 95% CIs.
6	The first draft of the Delphi Consensus document was prepared by the co-ordinator and was
7	circulated to all participants for feedback. The manuscript was revised twice based on their
8	comments and suggestions. The final version of the manuscript was approved by all
9	participants. Any potential conflicts of interest were disclosed and are listed at the end of the
10	manuscript.
11	
12	Results
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13 14 15 16 17	All 63 participants completed all 3 voting rounds. Overall, 22 of 63 (34.9%) maintained the same responses from Round 1 to Round 3, while 18 of 63 (28.6%) changed their votes in at least one scenario between Round 1 and Round 2, but not from Round 2 to Round 3. The remaining 23 of 63 (36.5%) changed their votes to at least one scenario from Round 1 to Round 2 and again from Round 2 to Round 3.
13 14 15 16 17	All 63 participants completed all 3 voting rounds. Overall, 22 of 63 (34.9%) maintained the same responses from Round 1 to Round 3, while 18 of 63 (28.6%) changed their votes in at least one scenario between Round 1 and Round 2, but not from Round 2 to Round 3. The remaining 23 of 63 (36.5%) changed their votes to at least one scenario from Round 1 to Round 2 and again from Round 2 to Round 3. Although consensus on a specific carotid intervention was not achieved, only 11 of 63
13 14 15 16 17 18 19	All 63 participants completed all 3 voting rounds. Overall, 22 of 63 (34.9%) maintained the same responses from Round 1 to Round 3, while 18 of 63 (28.6%) changed their votes in at least one scenario between Round 1 and Round 2, but not from Round 2 to Round 3. The remaining 23 of 63 (36.5%) changed their votes to at least one scenario from Round 1 to Round 2 and again from Round 2 to Round 3. Although consensus on a specific carotid intervention was not achieved, only 11 of 63 (17.4%) participants opted for BMT alone in managing a 70-year-old fit (American Society of

1	11 (63.7%) would initiate BMT alone while also monitoring for clinical or imaging features
2	suggesting 'high-stroke' risk on BMT alone as recommended by the 2023 ESVS guidelines. ³
3	Similarly, only 18 of 63 (28.5%) participants selected BMT alone for the management of a
4	70-yea-old fit (ASA Class II) ¹⁵ female patient with 80-99% AsxCS (Table 2). Of these, 8 of
5	18 (44.4%) opted for an initial BMT alone strategy with reassessment of the patient with a
6	new ultrasound scan in 6 months to check for disease progression/regression. Additionally, 10
7	of 18 (55.6%) would initiate BMT alone but would also look for clinical/imaging features
8	suggesting 'high-stroke' risk on BMT alone, as recommended by the 2023 ESVS guidelines. ³
9	In contrast, 48 of 63 (76.2%) panelists would only offer BMT alone to an 80-year-old male
LO	patient with 80-99% AsxCS and several comorbidities (ASA Class III), such as chronic
l1	obstructive pulmonary disease [COPD], previous myocardial infarction [MI] and/or coronary
12	artery bypass grafting (Table 3).
13	When presented with the clinical scenario involving an 80-year-old symptomatic male
L4	patient with a recent transient ischemic attack (TIA) episode, an ipsilateral 70-99% SxCS, and
15	a 5-year predicted risk of ipsilateral ischemic event using the carotid artery risk (CAR) score ¹⁶
L6	of 10%, >80% of participants (54/63; 85.7%) would offer BMT plus an intervention (Table
L7	4). This intervention would be CEA or TCAR, but not TFCAS. When the same 80-year-old
18	symptomatic male patient with a recent TIA episode and an ipsilateral 70-99% SxCS, had a 5-
19	year predicted risk of ipsilateral ischemic event using the CAR score ¹⁶ of 15%, >90% of
20	participants (59/63; 93.6%) would offer BMT plus an intervention (Table 5), and if the same
21	patient had a CAR score ¹⁶ of ≥20%, all participants (63/63; 100%) would offer BMT plus a
22	carotid intervention (Table 6).
23	Comparative analysis between U.Sbased and Europe-based participants revealed some

regional differences (Supplementary Tables 3-8). European participants were more likely to

- opt for BMT alone in the management of a 70-year-old, fit male (21.6% vs. 11.5%,
- 2 respectively; **Supplementary Table 3**) and female (35.1% *vs.* 19.2%, respectively;
- 3 Supplementary Table 4) patients compared with their North American counterparts, but
- 4 these differences were not significant. Most U.S. and European participants opted for BMT
- alone for the management of an 80-year-old male patient with several comorbidities (COPD,
- 6 past MI, CABG) and 80-99% AsxCS (73.4% vs. 78.4%, respectively; P = .3688;
- 7 **Supplementary Table 5**). European participants favored BMT plus CEA as the optimal
- 8 treatment option for an 80-year-old symptomatic male patient with a recent TIA, an ipsilateral
- 9 70-99% SxCS, and a 5-year predicted risk of ipsilateral ischemic stroke using the CAR score
- of 10% (48.6% vs. 42.4%, respectively; P = .119), 15% (56.8% vs. 30.8%, respectively; P = .119)
- 11 .0124) and 20% (59.5% vs. 30.8%, respectively; P = .0032) compared with U.S. participants.
- In contrast, U.S. participants were more likely to offer CEA or TCAR (but not TFCAS) to
- these patients compared with European participants (42.4% vs. 16.3%, 61.6% vs. 21.6% and
- 14 65.4% vs. 14.3%, respectively; **Supplementary Tables 6, 7 and 8**).
- The three asymptomatic patient scenarios were pooled to allow for comparison of U.S. vs.
- European participant preferences. U.S.-based participants were significantly more likely than
- their European counterparts to opt for BMT plus CEA/CAS/TCAR (42.3 vs. 26.0%,
- respectively; OR: 4.251; 95% CI: 2.204-8.364, P < .001; **Supplementary Table 9**). The BMT
- + TCAR option was merged into the BMT + CEA/TFCAS/TCAR cohort for this analysis due
- to low sample size on the BMT + TCAR option and the current minimal availability of TCAR
- 21 in Europe.
- The three symptomatic patient scenarios were pooled to allow for comparison of U.S.-based
- 23 vs. European participant preferences. U.S.-based participants were significantly more likely to
- opt for BMT plus CEA/CAS/TCAR in symptomatic patients (60.3% vs. 27.0%, respectively;

1	OR: 4.060; 95% CI: 2.112-7.958; $P < .001$). In contrast, U.Sbased participants were
2	significantly less likely to opt for BMT + CEA or BMT + CAS than their European
3	counterparts in treating SxCS (34.6 vs. 54.9%, respectively; OR: 0.436; 95% CI: 0.228-0.822;
4	P = .008; and 0 vs. 10.0%, respectively; OR: 0; 95% CI: 0-0.533; $P = .003$; Supplementary
5	Table 10). Once again, the BMT + TCAR option was merged into the BMT +
6	CEA/TFCAS/TCAR cohort for this analysis due to low sample size on the BMT + TCAR
7	option and the current minimal availability of TCAR in Europe.
8	Discussion
LO	The present international, expert-based Delphi Consensus document revealed several findings
11	regarding the optimal management of both AsxCS and SxCS patients. These findings are
12	presented and discussed.
	presented and discussed.
L3	
L4	AsxCS patients
L5	It is now well-recognized that not all AsxCS patients carry the same stroke risk. A 2014
L6	opinion article emphasized the importance of identifying 'high-risk' AsxCS patients to
L7	selectively offer prophylactic carotid revascularization procedures to those most likely to
L8	benefit. ¹⁷ A number of clinical and imaging features have been proposed for stratifying stroke
19	risk in this population, including: (1) the detection of microemboli on transcranial Doppler,
20	(2) identification of the unstable carotid plaque using ultrasound, (3) reduced cerebrovascular
21	reserve, (4) identification of intraplaque hemorrhage on MRI, (5) progression in the stenosis
22	severity, and, (6) a combination of multiple independent risk stratification parameters (e.g.,
23	baseline degree of stenosis, history of contralateral stroke or TIA, size of juxtaluminal plaque

area $\geq 8 \text{ mm}^2$ without a visible echogenic cap and the presence of discrete white areas in a 1 hypoechoic plaque, or a combination of a low gray scale median score with transcranial 2 Doppler microembolic signals). ¹⁷ Based on these findings, the 2017 ESVS carotid guidelines 3 recommended that for patients with 60-99% AsxCS and 1 or more of these 'high-risk' 4 clinical/imaging features associated with an increased risk for late stroke on BMT alone, CEA 5 6 should be considered (Class IIa; Level of Evidence: B) or TFCAS may be considered (Class IIb; Level of Evidence: B) for the reduction of long-term risk of stroke, provided that anatomy 7 is favorable, 30-day stroke/death rates are \leq 3% and the patient's life-expectancy exceeds 5 8 years. 15 These recommendations remained unchanged in the recently updated 2023 ESVS 9 carotid guidelines.³ 10 Similarly, the 2022 SVS carotid guidelines endorse the use of CEA, TCAR or TFCAS in 11 patients with $\geq 70\%$ AsxCS, provided that the patient has at least a 3- to 5-year life expectancy 12 and perioperative stroke/death rates can be ≤3%. 1,2 The SVS Guidelines emphasized that 13 selection of the revascularization strategy should be based on the presence or absence of 14 specific high-risk anatomic criteria for each procedure.^{1,2} For instance, the presence of a 15 tracheal stoma or a lesion above C2 would be a contraindication for CEA.^{1,2} In contrast, a 16 distance to the carotid bifurcation <5 cm or a common carotid artery diameter <6 mm would 17 be a contraindication for TCAR. 1,2 Finally, a tortuous common or internal carotid artery 18 would be a contraindication for TFCAS.^{1,2} In addition, the SVS carotid guidelines clearly 19 indicated that lesion morphology such as echolucency, calcification, long irregular plaques, 20 the presence of fresh thrombus or a string sign can affect outcomes and may alter decision-21 making concerning the optimal carotid revascularization procedure. 1,2 Therefore, the choice of 22 the optimal therapeutic modality would depend on the presence or absence of such high-risk 23 anatomic criteria and lesion morphology. 1,2 Finally, it was specified that physiologic 24

1	comorbidities such as congestive heart failure, left ventricular ejection fraction ≤35%,
2	unstable angina, the presence of MI within the past 6 weeks COPD and renal failure constitute
3	considerable physiologic risks, and in such patients, TCAR is preferred over CEA and
4	TFCAS according to the SVS guidelines. ²
5	Recent evidence suggests that the degree/percentage of AsxCS alone is not an adequate
6	predictor of future ipsilateral ischemic stroke risk. Several other parameters have been
7	proposed to more accurately stratify AsxCS patients with regards to future stroke risk.
8	Examples include the type of carotid plaque, 19 or a high carotid plaque-reporting and data
9	system score (RADS). 10 These parameters may be more accurate predictors of future
10	ipsilateral ischemic stroke risk and should probably be implemented in future guidelines to
11	guide the identification of 'high-risk' AsxCS individuals for whom a prophylactic carotid
12	intervention is warranted in addition to BMT. Identification of prognostic factors for long-
13	term survival in AsxCS patients and risk prediction models for the development of a future
14	stroke in these individuals, as well as the development of valid and reliable stroke risk
15	stratification models/systems are crucial to select those asymptomatic patient subgroups most
16	likely to benefit from a prophylactic carotid intervention. ²⁰⁻²⁴
17	
18	SxCS patients
19	Both the 2022 SVS and the 2023 ESVS carotid guidelines strongly recommend carotid
20	revascularization within 14 days of an ischemic cerebrovascular in patients with and an
21	ipsilateral 50-99% SxCS. ¹⁻³ In this scenario, both guidelines advocate for CEA over TFCAS,
22	based on evidence supporting superior safety and efficacy in these patients. ¹⁻³ Additionally,
23	the SVS guidelines highlight data from large national registries suggesting that in
24	symptomatic patients, TCAR is associated with lower stroke/death rates than TFCAS and

demonstrates comparable outcomes with CEA.² However, it is important to note that the vast 1 majority of TCAR procedures to date have been performed in patients considered high risk 2 for CEA due to anatomic or medical factors. While early results are encouraging, further data 3 in low-risk symptomatic patients are needed to validate these findings.² 4 The early data from the ROADSTER 3 trial evaluating the safety and efficacy of TCAR in 5 standard surgical risk patients were recently presented.²⁵ The 30-day rate of stroke/death/MI 6 in the study's intention-to-treat population (n=344) was 0.9%, which decreased to 0.6% 7 within per-protocol analysis involving 320 patients. The incidence of cranial nerve injury 8 within 30 days was 0.6% and all cranial nerve deficits resolved within 6 months.²⁵ It was 9 concluded that since TCAR is less invasive than CEA and has similar stroke rates with a 10 lower incidence of cranial nerve injury, if a patient is able to take dual antiplatelet and statin 11 therapy and has anatomy that is amenable to TCAR, then TCAR should be the first-choice 12 modality.²⁵ 13 Recently, the 2-year interim results of the 2nd European Carotid Surgery Trial (ECST-2) 14 were published. ²⁶ The trial tested whether patients with ≥50% AsxCS or SxCS with a low-to-15 intermediate predicted risk of stroke receiving BMT would benefit from additional 16 revascularization. ²⁶ The interim analysis found no significant difference in outcomes between 17 treatment groups.²⁶ It was therefore concluded that in patients with ≥50% AsxCS or SxCS 18 with a low-to-intermediate predicted risk of stroke there is no evidence for a benefit of 19 revascularization in addition to BMT.²⁶ 20 21 Although guidelines provide recommendations for patients at 'average surgical risk', the management may differ in those deemed 'high-risk' due to medical comorbidities. In AsxCS 22 patients, for example, life expectancy of >5 years and low perioperative complications are 23

prerequisites to reliably achieving benefit from a carotid intervention. ¹⁻³ Furthermore, a

systematic review of outcomes in 21 registries (>1,500,000 patients) showed that stroke and 1 death rates after TFCAS often exceed the recommended thresholds by the AHA/ASA (<3% 2 for AsxCS; <6% for SxCS).²⁷ More nuanced stroke risk stratification tools such as the carotid 3 plaque-RADS classification system¹⁰ or various plaque features (e.g., ulceration, thin fibrous 4 cap, juxtaluminal black area >8mm²), 19 and patient-specific anatomical and physiological 5 6 characteristics may help identify which individuals would benefit most from either BMT alone or BMT plus carotid revascularization. 7 8 The differences between participants from the U.S. and their European counterparts in the pooled analysis probably reflect the availability and increased utilization of TCAR in the 9 United States. TCAR offers a less invasive carotid revascularization option than CEA with 10 similar perioperative stroke rates when applied to patients with anatomy appropriate for 11 TCAR.²⁸ TCAR is therefore viewed in the U.S. as a viable and potentially superior option to 12 CAS and CEA in patients at higher surgical risk due to medical comorbidities or due to 13 anatomically hostile necks. 14 The present Delphi Consensus document has some limitations. As with all Delphi 15 Consensus documents, a different composition of the panel (e.g., more interventional 16 radiologists/cardiologists, fewer vascular surgeons, more U.S. participants, etc.) could have 17 18 produced different results. In addition, the decision-making for each patient would also take into account individual anatomical and physiological characteristics/risk factors that would 19 make them high-risk for specific procedures (e.g., anatomy suitable for the selected 20 procedure, patient life expectancy, type of carotid plaque, etc.). Furthermore, no information 21 was provided regarding the type of plaque (e.g., the presence of carotid ulcer, a thin fibrous 22

cap, discrete white areas or large a juxtaluminal black area [>8 mm²]) or the brain CT findings

(e.g., the presence of silent ipsilateral infarcts). For example, there is evidence that intraplaque

23

- 1 hemorrhage and plaque ulceration are more likely in patients with mild-to-moderate SxCS
- 2 than in high-grade AsxCS/SxCS.²⁹ Such information could alter the votes of many of the
- 3 participants. Finally, the Carotid Revascularization and Medical Management for
- 4 Asymptomatic Carotid Stenosis (CREST-2)³⁰ study is on-going. Its results may influence the
- 5 opinion of some of the participants of this Delphi Consensus.

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7 Conclusions

8 In conclusion, this international, multi-specialty Delphi Consensus Document highlights

9 the ongoing variability in the management of both SxCS and AsxCS patients. Although

consensus was not achieved in all scenarios – particularly regarding the preferred

11 revascularization technique – these differences largely reflect the diverse expertise,

12 geographic practice patterns, and resource availability among panel participants.

Notably, the panel agreed that BMT alone is insufficient for most patients with SxCS, and

that select subgroups of AsxCS patients may also benefit from revascularization, especially

when high-risk features are present. These findings support the importance of personalized

stroke risk stratification, incorporating clinical, anatomical, and imaging features to guide

decisions about whether and how to intervene.

As emerging data - such as from ECST- 2^{26} and CREST- 2^{30} - continue to shape the

evidence base, interdisciplinary dialogue and individualized decision-making will remain

20 critical in optimizing outcomes for patients with both AsxCS and SxCS.

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4

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7	
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	Round 1	Round 2	Round 3
BMT alone	18 (28.5%)	11 (17.4%)	11 (17.4%)
BMT plus CEA	25 (39.8%)	23 (36.5%)	23 (36.5%)
BMT plus CAS	3 (4.8%)	2 (3.2%)	1 (1.6%)
BMT plus TCAR	_	1 (1.6%)	-
BMT plus CEA/CAS/TCAR	17 (26.9%)	26 (41.3%)	28 (44.5%)
Total	63 (100%)	63 (100%)	63 (100%)

Table 1. What would you recommend to a 70-year old fit male patient with 80-99% asymptomatic carotid stenosis (ASA Class II)?

	Round 1	Round 2	Round 3
BMT alone	28 (44.5%)	18 (28.5%)	18 (28.5%)
BMT plus CEA	18 (28.5%)	19 (30.1%)	18 (28.5%)
BMT plus CAS	3 (4.8%)	1 (1.6%)	2 (3.2%)
BMT plus TCAR	_	_	_
BMT plus CEA/CAS/TCAR	14 (22.2%)	25 (39.8%)	25 (39.8%)
Total	63 (100%)	63 (100%)	63 (100%)

Table 2. What would you recommend to a 70-year old fit female patient with 80-99% asymptomatic carotid stenosis (ASA Class II)?

	Round 1	Round 2	Round 3
BMT alone	51 (80.8%)	41 (65.1%)	48 (76.2%)
BMT plus CEA	2 (3.2%)	4 (6.4%)	3 (4.8%)
BMT plus CAS	4 (6.4%)	6 (9.4%)	3 (4.8%)
BMT plus TCAR	1 (1.6%)	2 (3.2%)	3 (4.8%)
BMT plus CEA/CAS/TCAR	5 (8.0%)	10 (15.9%)	6 (9.4%)
Total	63 (100%)	63 (100%)	63 (100%)

Table 3. What would you recommend to an 80-year old male patient with several comorbidities (COPD, past MI, CABG) and 80-99% asymptomatic carotid stenosis (ASA Class III)?

	Round 1	Round 2	Round 3
BMT alone	14 (22.2%)	11 (17.4%)	9 (14.3%)
BMT plus CEA	30 (47.6%)	29 (46.0%)	29 (46.0%)
BMT plus CAS	3 (4.8%)	-	4 (6.4%)
BMT plus TCAR	2 (3.2%)	3 (4.8%)	4 (6.4%)
BMT plus CEA/CAS/TCAR	14 (22.2%)	20 (31.8%)	17 (26.9%)
Total	63 (100%)	63 (100%)	63 (100%)

Table 4. What would you recommend to an 80-year old symptomatic male patient with a recent TIA, an ipsilateral 70-99% SxCS, and a 5-year predicted risk of ipsilateral ischemic stroke using the CAR score (<u>CAR Score</u>) of 10%?

	Round 1	Round 2	Round 3
BMT alone	4 (6.4%)	6 (9.5%)	4 (6.4%)
BMT plus CEA	30 (47.5%)	32 (50.8%)	29 (46.0%)
BMT plus CAS	4 (6.4%)	1 (1.6%)	3 (4.8%)
BMT plus TCAR	4 (6.4%)	2 (3.2%)	3 (4.8%)
BMT plus CEA/CAS/TCAR	21 (33.3%)	22 (34.9%)	24 (38.0%)
Total	63 (100%)	63 (100%)	63 (100%)

Table 5. What would you recommend to an 80-year old symptomatic male patient with a recent TIA, an ipsilateral 70-99% SxCS, and a 5-year predicted risk of ipsilateral ischemic stroke using the CAR score (<u>CAR Score</u>) of 15%?

	Round 1	Round 2	Round 3
BMT alone	_	1 (1.6%)	_
BMT plus CEA	31 (49.4%)	29 (46.0%)	30 (47.5%)
BMT plus CAS	6 (9.3%)	3 (4.8%)	4 (6.4%)
BMT plus TCAR	4 (6.4%)	2 (3.2%)	3 (4.8%)
BMT plus CEA/CAS/TCAR	22 (34.9%)	28 (44.4%)	26 (41.3%)
Total	63 (100%)	63 (100%)	63 (100%)

Table 6. What would you recommend to an 80-year old symptomatic male patient with a recent TIA, an ipsilateral 70-99% SxCS, and a 5-year predicted risk of ipsilateral ischemic stroke using the CAR score (<u>CAR Score</u>) of ≥20%?

- 1. What would you recommend to a 70-year old fit male patient with 80-99% asymptomatic carotid stenosis (ASA Class II)?
- 2. What would you recommend to a 70-year old fit female patient with 80-99% asymptomatic carotid stenosis (ASA Class II)?
- 3. What would you recommend to an 80-year old male patient with several comorbidities (COPD, past MI, CABG) and 80-99% asymptomatic carotid stenosis (ASA Class III)?
- 4. What would you recommend to an 80-year old symptomatic male patient with a recent TIA, an ipsilateral 70-99% SxCS, and a 5-year predicted risk of ipsilateral ischemic stroke using the CAR score of 10%?
- 5. What would you recommend to an 80-year old symptomatic male patient with a recent TIA, an ipsilateral 70-99% SxCS, and a 5-year predicted risk of ipsilateral ischemic stroke using the CAR score of 15%?
- 6. What would you recommend to an 80-year old symptomatic male patient with a recent TIA, an ipsilateral 70-99% SxCS, and a 5-year predicted risk of ipsilateral ischemic stroke using the CAR score of $\geq 20\%$?

ASA: American Society of Anesthesiologists; COPD: chronić obstructive pulmonary disease; MI: myocardial infarction; CABG: coronary artery bypass grafting; TIA: Transient ischemic attack; CAR score: carotid artery risk score

Figure 1. The 6 clinical scenarios included in the Delphi Consensus document

Country	Number of participants
U.S.A.	26
Italy	11
Poland	4
The Netherlands	3
United Kingdom	2
Russia	2
Greece	2
Slovenia	2
Portugal	2
Hungary	2
France	1
Denmark	1
Germany	1
Spain	1
Bosnia and Herzegovina	1
Cyprus	1
Ireland	1
Total	63

Supplementary Table 1. Analysis of the number of participants per country of origin

Specialty	Number of participants
Vascular Surgery	47
Interventional Cardiologist/Radiologist	7
Neurology/Stroke physician	5
Vascular Medicine	4

<u>Supplementary Table 2.</u> Number of participants per specialty in the Delphi Consensus document.

U.S. participants		European participants	P
BMT alone	3 (11.5%)	8 (21.6%)	
BMT plus CEA	9 (34.5.%)	14 (37.8%)	
BMT plus CAS	_	1 (2.7%)	
BMT plus TCAR	_	_	P = .6979
BMT plus	14 (54.0%)	14 (37.9%)	
CEA/CAS/TCAR		C.	
Total	26 (100%)	37 (100%)	

Supplementary Table 3. Responses of the participants from the U.S.A. vs.

Europe in Round 3 to the question "What would you recommend to a 70-year old fit male patient with 80-99% asymptomatic carotid stenosis (ASA Class II)?".

	U.S. participants	European participants	P
BMT alone	5 (19.2%)	13 (35.1%)	
BMT plus CEA	8 (30.8%)	10 (27.0%)	
BMT plus CAS	_	2 (5.4%)	P = .3941
BMT plus TCAR	_	-	
BMT plus CEA/CAS/TCAR	13 (50.0%)	12 (32.5%)	
Total	26 (100%)	37 (100%	

Supplementary Table 4. Responses of the participants from the U.S.A. vs. Europe in Round 3 to the question "What would you recommend to a 70-year old fit female patient with 80-99% asymptomatic carotid stenosis (ASA Class II)?".

	U.S. participants	European participants	P	
BMT alone	19 (73.4%)	29 (78.4%)		
BMT plus CEA	1 (3.8%)	2 (5.4%)		
BMT plus CAS	_	3 (8.1%)	P = .2527	
BMT plus TCAR	2 (7.6%)	1 (2.7%)		
BMT plus CEA/CAS/TCAR	4 (15.2%)	2 (5.4%)		
Total	26 (100%)	37 (100%)		

Supplementary Table 5. Responses of the participants from the U.S.A. vs. Europe in Round 3 to the question "What would you recommend to an 80-year old male patient with several comorbidities (COPD, past MI, CABG) and 80-99% asymptomatic carotid stenosis (ASA Class III)?".

U.S. participants	European participants	P
3 (11.4%)	6 (16.2%)	
11 (42.4%)	18 (48.6%)	-
_	4 (10.8%)	P = .0402
1 (3.8%)	3 (8.1%)	-
11 (42.4%)	6 (16.3%)	-
26 (100%)	37 (100%)	
	3 (11.4%) 11 (42.4%) - 1 (3.8%) 11 (42.4%)	3 (11.4%) 6 (16.2%) 11 (42.4%) 18 (48.6%) - 4 (10.8%) 1 (3.8%) 3 (8.1%) 11 (42.4%) 6 (16.3%)

Supplementary Table 6. Responses of the participants from U.S.A, vs. Europe in Round 3 to the question "What would you recommend to an 80-year old symptomatic male patient with a recent TIA, an ipsilateral 70-99% SxCS, and a 5-year predicted risk of ipsilateral ischemic stroke using the CAR score (<u>CAR Score</u>) of 10%?".

	U.S. participants	European participants	P	
BMT alone	1 (3.8%)	3 (8.1%)		
BMT plus CEA	8 (30.8%)	21 (56.8%)		
BMT plus CAS	-	3 (8.1%)	P = .0183	
BMT plus TCAR	1 (3.8%)	2 (5.4%)		
BMT plus CEA/CAS/TCAR	16 (61.6%)	8 (21.6%)		
Total	26 (100%)	37 (100%)		

Supplementary Table 7. Responses of the participants from the U.S.A. vs. Europe in Round 3 to the question "What would you recommend to an 80-year old symptomatic male patient with a recent TIA, an ipsilateral 70-99% SxCS, and a 5-year predicted risk of ipsilateral ischemic stroke using the CAR score (CAR Score) of 15%?".

	U.S. participants	European participants	P
BMT alone	_	_	
BMT plus CEA	8 (30.8%)	22 (59.5%)	
BMT plus CAS	_	4 (10.8%)	P = .009099
BMT plus TCAR	1 (3.8%)	2 (5.4%)	
BMT plus CEA/CAS/TCAR	17 (65.4%)	9 (14.3%)	
Total	26 (100%)	37 (100%)	

Supplementary Table 8. Responses of the participants from the U.S.A. vs. Europe in Round 3 to the question "what would you recommend to an 80-year old symptomatic male patient with a recent TIA, an ipsilateral 70-99% SxCS, and a 5-year predicted risk of ipsilateral ischemic stroke using the CAR score (CAR Score) of $\geq 20\%$ "?

Treatment type	U.S.	Europe	OR (95% CI)	P
BMT alone	27/78 (34.6%)	50/111 (45.0%)	0.646 (0.355-1.17)	.152
BMT + CEA	18/78 (23.1%)	26/111 (23.5%)	0.981 (0.494-1.95)	.956
BMT + CAS	0/78 (0%)	6/111 (5.4%)	0.10 (0.006-1.79)	.124
BMT + CEA/CAS/TCAR	47/78 (60.3%)	29/111 (26.0%)	4.29 (2.31-7.97)	<.0001

Supplementary Table 9. Pooled analysis of preferred treatment for AsxCS patients in participants from the U.S.A. vs. Europe.

Treatment type	U.S.	Europe	OR (95% CI)	P
BMT alone	4/78 (5.1%)	9/111 (8.1%)	0.607 (0.180-2.05)	.420
BMT + CEA	27/78 (34.6%)	61/111 (54.9%)	0.434 (0.239789)	.006
BMT + CAS	0/78 (0%)	11/111 (10.0%)	0.056 (0.003959)	.047
BMT + CEA/CAS/TCAR	47/78 (60.3%)	30/111 (27.0%)	4.04 (2.18-7.50)	<.0001

Supplementary Table 10. Pooled analysis of preferred treatment for SxCS patients in participants from the U.S.A. vs. Europe.